# Automatic Estimation of Self-Reported Pain by Interpretable Representations of Motion Dynamics 

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Overview of the proposed approach - After facial landmarks extraction for each frame of a sequence, velocities are computer as the displacement of the coordinates between two consecutive frames. The Gram matrices are computed from the combination of the landmark coordinates and velocities to build the trajectory on the $\mathcal{S}^{+}(d, n)$ manifold. We apply a curve fitting algorithm on the trajectory for denoising and smoothing. Global Alignment Kernel (GAK) is then used to align the trajectories on the manifold. Finally, we use the kernel generated from GAK with SVR to estimate the self-reported pain level.

## Shape Representation and Trajectory Modeling

## Shape Representation

Each sequence is characterized by a set of landmarks $\left\{Z_{0}, \ldots, Z_{\tau}\right\}$, where $\tau$ is the number of frames of the sequence, and each configuration matrix $Z_{i}(1 \leq i \leq \tau) \in \mathrm{R}^{n \times d}$ encodes the position of the $n$ landmarks in $d$ dimensions. Velocities are computed as the magnitude of the displacement between two consecutive landmark configurations $Z_{i}$ and $Z_{i+1}$. The final facial representation $A$ is the concatenation of the landmark coordinates and velocities. To measure the dynamic changes of the curves, while remaining invariant to rotation and translation, we use Gram matrices that are $2 n \times 2 n$ positive semi-definite matrices, of rank equal to $d$. It is computed as $G=A A^{T}$.

## Metric

We consider the manifold $\mathcal{S}^{+}(d, 2 n)$ as the quotient manifold $\mathbb{R}_{*}^{2 n \times d} / \mathcal{O}_{d}$, where $\mathbb{R}_{*}^{2 n \times d}$ is the set of full-rank $2 n \times d$ matrices. The mapping $\pi: \mathbb{R}_{*}^{2 n \times d} \rightarrow \mathbb{R}_{*}^{2 n \times d} / \mathcal{O}_{d}$, that transforms points to their equivalence class, induces a Riemannian metric on the quotient manifold from the Euclidean metric in $\mathbb{R}_{*}^{2 n \times d}$. The distance between two elements is expressed in terms of facial configurations $A_{i}, A_{j} \in \mathbb{R}_{*}^{2 \times x}$ as:

$$
d\left(G_{i}, G_{j}\right)=\min _{Q \in \mathcal{O}_{d}}\left\|A_{j} Q-A_{i}\right\|_{F},
$$

The optimal solution is $Q^{*}:=V U^{T}$, where $A_{i}^{T} A_{j}=U \Sigma V^{T}$ is the singular value decomposition. When $d=2$, the distance can be formulated as follows:

$$
d\left(G_{i}, G_{j}\right)=\operatorname{tr}\left(G_{i}\right)+\operatorname{tr}\left(G_{j}\right)-2 \sqrt{(a+d)^{2}+(c-b)^{2}},
$$

where $A_{i}^{T} A_{j}=\left(\begin{array}{ll}a & b \\ c & d\end{array}\right)$.

## Alignment and Classification

$\overline{\text { Global Alignment Kernel (GAK) }}$ is used to align sequences on the manifold. Let us consider $G^{1}=$ $\left\{G_{0}^{1}, \cdots, G_{\tau_{1}}^{1}\right\}$ and $G^{2}=\left\{G_{0}^{2}, \cdots, G_{\tau_{2}}^{2}\right\}$, two trajectories of Gram matrices. Given a metric to compute the distance between two elements of each sequence, we propose to compute the matrix $D$ of size $\tau_{1} \times \tau_{2}$, where each $D(i, j)$ is the distance between two elements of the sequences, with $1 \leq i \leq \tau_{1}$ and $1 \leq j \leq \tau_{2}$. The kernel $\tilde{k}$ can now be computed using the halved Gaussian Kernel on this same matrix $D$, as well as the kernel $k$. They are defined as:

$$
\tilde{k}(i, j)=\frac{1}{2} * \exp \left(-\frac{D(i, j)}{\sigma^{2}}\right)
$$

$$
k(i, j)=\frac{\tilde{k}(i, j)}{(1-\tilde{k}(i, j))}
$$

To compute the similarity score between the two trajectories $G^{1}$ and $G^{2}$, we define a zeros matrix $M$ of size $\left(\tau_{1}+1\right) \times\left(\tau_{2}+1\right)$ with $M_{0,0}=1$ that will contain the path to the similarity between our two sequences. The terms of $M$ are computed as:

$$
M_{i, j}=\left(M_{i, j-1}+M_{i-1, j-1}+M_{i-1, j}\right) * k(i, j)
$$

The similarity score is the value at $M_{\left(\tau_{1}+1\right),\left(\tau_{2}+1\right)}$. Finally, we build a new matrix $K$ of size $n_{\text {seq }} \times n_{\text {seq }}$, where $n_{s e q}$ is the number of sequences in the dataset that contains all the similarity score between all the sequences and is used directly with SVR for self-reported pain estimation.

## Experimental results

Experimental results on the UNBC-McMaster Shoulder Pain Archive are presented below. To test our method, we used 3 different protocols: Leave-One-Sequence-Out, Leave-One-Subject-Out cross validation and 5 -folds cross validation
UNBC-McMaster

| Protocol | \% of frames | MAE | RMSE |
| :--- | :---: | :---: | :---: |
|  | $25 \%$ | $\mathbf{2 . 3 1 6 6}$ | 3.1459 |
|  | $100 \%$ | 2.5291 | 3.3263 |
| Leave-One-Subject-Out cross validation | $25 \%$ | $\mathbf{2 . 5 2 3}$ | 3.2692 |
|  | $100 \%$ | 2.9176 | 3.5133 |
| 5-fold cross validation | $25 \%$ | $\mathbf{2 . 4 3 6 5}$ | 3.147 |
|  | $100 \%$ | 2.7944 | 3.5088 |

Table 1: Results of our method with the 3 different protocols on the UNBC-McMaster Shoulder Pain Archive


Figure 2: Distribution of the predicted VAS values compared with the real VAS using the 5 -fold cross validation protocol
Comparison with State-of-the-Art

## Method

| Method | Protocol | Labels for training | MAE |
| :--- | :---: | :---: | :---: |
| DeepFaceLift (2017)* | 5-fold cross validation | VAS | 2.30 | | DeepFaceLift (2017)* | 5-fold cross validation | VAS |
| :---: | :---: | :---: |
| RNN-HCRF (2017)* | random split | VAS \& PSPI | | Ours | 5 -fold cross validation |
| :--- | :--- |

Table 2: Comparison of our approach with state-of-theart results.

