# PointSpherical: Deep Shape Context for Point Cloud Learning in Spherical Coordinates

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### Introduction

The two critical distinguishing concepts in a convolutional neural network (CNN) are 1) receptive field: convolution has an explicit encoding neighborhood in xy plane, and 2) parts: the max-pooling has an explicit delineation of the parts. For 3D point cloud recognition and segmentation tasks, it has been challenging to find the right representation to operationalize these two concepts of receptive field and parts. We directly address the concepts of the receptive field and parts in 3D point cloud. Starting from N 3D point clouds, we sample M points using the furthest point method. We center a spherical coordinate on each 3D sampling point, divide the space into radial (r), polar angular  $(\phi)$ , and azimuthal angular  $(\theta)$  bins. We apply 1x1 CNN convolution on each point and apply max pooling over points that fall within each bin to extract its ShapeContext feature. We apply 3D CNN operations, convolution, and max-pooling, on the spherical bins. Just as in 2D CNN, after a fixed number of stages, we condense information by merging nearby bins in  $(r, \phi, \theta)$  space after max-pooling. After three stages of the convolution, max-pooling, and subsampling in our current experiment setting, we reduce the information into one central bin. Our idea can be thought of as 'picture-inpicture': we took a fovea image-like snapshot of the 3D neighborhood around each point. Each local Shape Context could be thought of as a tiny fovea picture inside the whole 3D shape volume: it has detailed shape information nearby and a blurred picture of faraway points. As such, we regained the concept of the receptive field: a regular-shaped neighbor for spatial convolution.

## Proposed Method



Our SHPool contains three key modules: Shape Context Module (SCM, for short), Geometric Blur Module(GBM, for short), and Hierarchical Pool Module(HPM, for short).

- We follow 3D Shape Context (3DSC) to divide every spherical neighborhood  $\{P_i \subset R^3\}$  into  $K(K = n_r \times n_\phi \times n_\theta, n_r)$  is the partition num arcoss r and  $n_\phi, n_\theta$  can be analogied) sector bins  $\{b_{i1}, b_{i2}, ..., b_{ik}\}$  but without log-polar.
- Geometric blur applies gaussian average pooling and blurring changes with the radial distance from the center.

 $F_{b_{ik}} = MLP^1(\mathcal{A}(g(MLP^2(D_{x_{ij}})), g(F_{x_{ij}}))), \forall x_{ij} \in \mathcal{N}(b_{ik})$ 

• Aiming at integrating information of bins  $K \times C_{bin}$ , we propose the Hierarchy Pool Module, composed of iterative convolution and spherical max-pool.

### Experiments & Visualization Results

#### Conclusion

In this paper, a novel method is proposed for 3D point cloud modeling, Spherical Hierarchical. Inspired by Shape Context, we design a receptive field on each 3D point by placing a spherical coordinate on it. We sample points using the furthest point method and creating overlapping balls of points. For each ball, space is divided into radial, polar angular, and azimuthal angular bins on which we form a Spherical Hierarchy. 1x1 CNN convolution on points is applied to start the initial feature extraction. Repeated 3D CNN and max-pooling over the Spherical bins propagate contextual information until all the information is condensed in the center bin. Experiments show that the proposed method outperforms its counterparts by a large margin.







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