# ClusterFace: Joint Clustering and Classification for Set-Based Face Recognition

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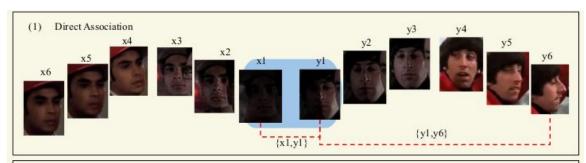


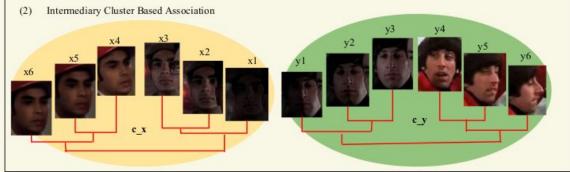
### Faces captured under unconstrained variations

Poor illumination Poor sensor quality/ Low resolution from full shots Pose variations

### **ClusterFace**

# Problem Statement





**Figure:** x1, ...x6 are faces of individual x and y1, ..., y6 are of individual y.  $c\_x$  denotes cluster x and  $c\_y$  denotes cluster y. Dashed lines show direct associations, solid lines show ClusterFace associations. **Top**: Direct associations incorrectly conclude that x1 and y1 (faces of different individuals under similar illumination conditions) are more similar than y1 and y6 (faces of same individual under different settings). **Bottom**: ClusterFace associations begin by merging the closest faces. Gradual and incremental associations correctly group the samples of same individual in to a single cluster.

## **Related Work**



## **Unconstrained Face Recognition: Previous Work**

Powerful	face	descri	ptors.
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- Advanced deep learning technology
- Sufficient and diversified train data

### Adaptive facial models.

- Single or multiple classifiers (e.g. SVM) updated based on face tracking result.
- Applicable only on videos

#### **Co-Training**

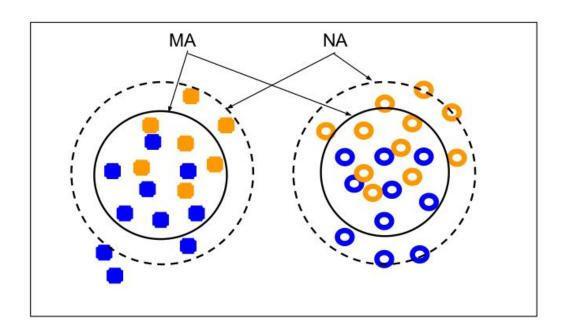
- Training multiple classifiers where classifiers guide each other based on confident predictions.
- Require labelled data to initiate the classifiers

#### **ClusterFace**

- Easy-to-hard neighbourhood analysis based on confident clusters.
- Does not require supervision from test data.

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### Must Associate (MA) and Neighbourhood Associate (NA) Constraints



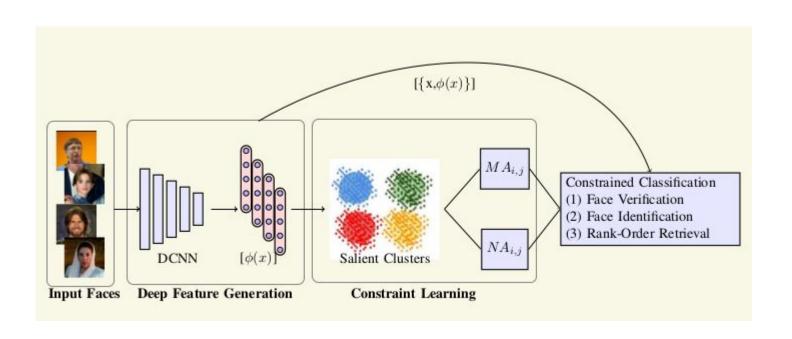








## **Framework Overview**



## **Hierarchical Agglomerative Clustering (HAC)**

#### **Hierarchical clustering**

• Preserves high reliability in early iterations

#### **Clustering step**

Replace the image set with its centroid.

• Link centroids with hierarchical agglomeration

#### **Computational complexity**

• Conventional HAC :  $O(n^3)$ 

• ClusterFace clustering :  $O(s^2 log(s))$ where s is the number of sets and s << n

## **Performance Evaluation**



# **Performance Evaluation**

System	Rank-1	Rank-5	Rank-10
Triplet Emb	93.2	-	97.7
FastSearch	82.2	93.1	-
Joint Bayesian	90.3	96.5	97.7
PAM	84	92.5	94.6
NAN	95.8	98.0	98.6
Template	92.8	97.7	98.6
DR GAN	85.5	94.7	_
Direct Associations	94.23	97.05	97.71
ClusterFace Associations	94.28	97.05	97.72

System	FAR=0.001	FAR=0.01	FAR=0.1
Triplet Emb	81.3	91	96.4
FastSearch	51.0	72.9	89.3
Joint Bayesian	-	83.8	96.7
PAM	65.2	82.6	-
NAN	88.1	94.1	97.8
Template	83.6	93.9	97.9
DR GAN	53.9	77.4	-
Direct Associations	84.95	93.76	98.13
ClusterFace Associations	86.60	94.23	98.30

System	YTC Accuracy (%)
SANP	65.60
MMDML	78.5
DRM-PWV	72.55
Fast FR	72.1
GJRNP	81.3
Direct Associations	90.71
ClusterFace Associations	91.06

# Thank you

