

25th International Conference on Pattern Recognition (ICPR 2020)

# Vision-Based Layout Detection from Scientific Literature using Recurrent Convolutional Neural Networks

## Huichen Yang, William H. Hsu

Laboratory for Knowledge Discovery in Databases http://www.kddresearch.org

Department of Computer Science, Kansas State University, USA





## **MOTIVATION**

- Unstructured scientific literature contains huge valuable information
- Rate of published scientific literature is growing rapidly into huge dataset
- Lack of metadata information extraction for existing tools, e.g., OCR
- Scientific literature layout detection presents a solution of automatic construction of large corpus for downstream tasks of NLP





## CONTRIBUTIONS

- Consider scientific literature layout detection (SLLD) as object detection task of computer vision
- Purpose an end-to-end learning framework based on twostage object detection framework Faster R-CNN
- Present a novel approach to detect the main regions of scientific articles, and output blocks and their corresponding labels
- Create synthesis dataset for scientific literature documents layout detection (SLLD) task





### **GROUND TRUTH**

### ACS APPLIED MATERIALS

#### Contents lists available at ScienceDirect

journal homepage: www.elsevier.com/locate/jalcom

Journal of Alloys and Compounds



CrossMari

### Enhanced Hydrothermal Stability and Catalytic Performance of HKUST-1 by Incorporating Carboxyl-Functionalized Attapulgite

### Bo Yuan, Xiao-Qian Yin, Xiao-Qin Liu,\* Xing-Yang Li, and Lin-Bing Sun\*

Jiangsu National Synergetic Innovation Center for Advanced Materials (SICAM), State Key Laboratory of Materials-Oriented Chemical Engineering, College of Chemistry and Chemical Engineering, Nanjing Tech University, Nanjing 210009, China

#### **Supporting Information**

ABSTRACT: Much attention has been paid to metal-organic frameworks (MOFs) due to their large surface areas, tunable functionality, and diverse structure. Nevertheless, most reported MOFs show poor hydrothermal stability, which seriously hinders their reported acOre show poor nyucouterinal statutity, which seriously induces their applications. Here a statusery is adopted to tailor the properties of MOFs by means of incorporating carboxyl-functionalized natural clay attapulgite (ATP) into HKUST-1, a well-known MOF. A new type of hybrid material was thus fabricated from the hybridization of HKUST-1 and ATP. Our results indicated that the hydrothermal stability of the MOFs as HKUST-1 and ATP. Our results indicated that the hydrothermal stability of the MOFs as well as the catalytic performance was apparently improved. The frameworks of HKUST-1 were severely destroyed after hydrothermal reatment (hot water vapor,  $60^{-2}$ C), while that of the hybrid materials was maintained. For the hybrid materials containing 8.4 wt % of ATP, the surface area reached 1302 m<sup>3</sup>g<sup>-1</sup> and was even higher than that of pristine HKUST-1 (1245 m<sup>2</sup>g<sup>-1</sup>). In the ring-opening of styrene oxide, the conversion reached 98.9% at only 20 min under catalysis from the hybrid materials showed excellent reusability and the catalytic activity



was recoverable without loss after six cycles. Our materials provide promising candidates for heterogeneous catalysis owing to th good catalytic activity and reusability.

KEYWORDS: carboxyl functionalization, natural elay, hydrothermal stability, ring-opening reaction, reusability

#### INTRODUCTION

MOFs are highly porous and crystalline solids whose ramework is constructed from metal ions and organic igands.<sup>1-6</sup> Owing to their high surface area, tunable unctionality, and diverse structure, MOFs show interesting properties for gas storage<sup>100</sup> and separation,<sup>10001</sup> as well as use as catalysts<sup>1001</sup> and sensors.<sup>10020</sup> However, MOFs are formed y metal-organic coordination bonds, and their hydrothermal tability is poor. Taking MOF-5 as an example, the collapse of tructure occurs irreversibly when exposed to an atmospheric invironment for 10 min.21 Water molecules can attack the coordination bonds in the frameworks of MOFs, resulting in the collapse of structure.<sup>22-34</sup> In addition, the structure is coverable even if the water is taken away.

Since the discovery of MOFs, many methods have been tempted to overcome the drawback of weak hydrothermal stability. One is the modification of ligands," in which sydrophobic ligands are introduced to prevent the coordination bonds from being attacked by water molecules. Another method is the hybridization of MOFs with some materials ncluding silica,<sup>30,01</sup> graphite oxide (GO),<sup>30,01</sup> and carbon nanotubes (CNT).<sup>31,01</sup> Hybridization can improve the properies such as adsorption capacity or hydrothermal stability However, these silica and carbon hybrids require artificial inthesis, and the use of low-cost and eco-friendly natural terials is highly desired.

Attapulgite is a kind of natural clay and belongs to hydro m-aluminum silicate minerals. The theoretic

ormula of attapulgite is Al2Mg2SiaO20(OH)2(OH2)4 H<sub>2</sub>O,<sup>200+12</sup> There are significant attapulgite reserves in various ountries such as China, America, and Spain. In recent years 4H.O.\* nuch attention has been given to the application of attapulgit wing to its eco-friendly nature, low-cost, and particula norphologies. The structure of attapulgite is shown in Figur S1 in Supporting Information, in which two bands of silica tetrahedra are linked by aluminum ions in octahedral coordination. Additionally, there are abundant active OH oups on the surface that can be used for modification. Moreover, it has one-dimensional fibrous morphology, and each fiber has a length ranging from several hundred nanometers to several micrometers, as displayed in Figures S2 and S3, Supporting Information.<sup>45,46</sup> Meanwhile, MOFs have a three-dimensional network structure with a crystal size that varies from nanometers to micrometers and even to 7-10 Taking HKUST-1 as an example, it is a typica millimeters. member of the MOE family and composed of 1,3,5-benzenetricarboxylate (H<sub>3</sub>BTC) organic linkers bound by a dicopper tetracarboxylate paddlewheel secondary building unit (SBU). HKUST-1 has an octahedral shape with a width of 15-

Received: April 7, 2016 Accepted: June 7, 2016 Published: June 7, 2016

ACS Publications 0 2016 American Chemical Society

16457

DOI: 10.1021/accarm.tbb01 ACS Appl. Maner. Interfaces 2016, 8, 16457-164

#### San Kang ", Arjun Mandal ", Ji-Hyeon Park", Dae-Young Um ", Jae Hwan Chu ", Soon-Yong Kwon " Cheul-Ro Lee ing, Engineering College, Research Center for Advanced Materials Development (RCAM)

Effects of growth temperatures on the characteristics of n-GaN

ul University, Backje-duera 567, Jeonja 561-736, Republic of Korea rrials Science and Engineering, Low Dimensional Carbon Materials Center, Uson National Institute of Science and Technology, UNIST-gil 50, Uluan 689-790, public of Korro

#### ARTICLE INFO

### ABSTRACT

nanorods-graphene hybrid structures

Received 20 April 2015 Received in revised form 8 May 2015 Accepted 11 May 2015 Available online 16 May 2015

Hybrid structures GaN nanorods rathene tal organic chemical vapor deposit Growth temperature

The effects of different growth temperatures of n-GaN nanorods (NNs) on the material and electrica imperties of n-GaN NRs-graphene hybrid device structures are being demonstrated for the first time high quality graphene transfer method was applied for transferring the graphene layer on Si(111) sub Is high quality graphene transfer method was applied for transferring the graphene layer on S(111) suit trate and n-CalM NRs were synthesized on the graphene layer on Si using a metal organic chemical vapa leposition (MOCVD) process of high V(III ratio. No metal-catalyst or droplet seeds were formed whe proving n-CalM NRs, The growth temperature of the n-CalM NRs was varied from 860°C to 900° transa spectroscopy confirmed the promisent existence of an undamaged graphene layer in all of th ana spectroscopy. highly-matched hybrid device structures under study. Improvement in the structural, crystalline an naterial properties was established from FE-SEM, XRD and PL studies for the hybrid structure when -GaN NRs were grown at 890 °C. The same hybrid structure also showed a ten-fold enhancement in pho ocurrent along with increased sensitivity and photoresponsivity. Therefore, it can be concluded that Table growth temperature of n-GaN NRs is the most important factor for the fabrication of high quali GaN NRs-transhene hybrid structures.

© 2015 Elsevier B.V. All rights received

#### 1. Introduction

Article history

FI SEVIER

Nowadays, semiconductor-graphene hybrid structure [1-3] is in area of research which is being studied extensively. As we mow, graphene [4-6] has excellent electrical conductivity [7] and can act as a highly efficient transport medium of charge carri ers [2,8,9], and is therefore ideal to be used in electronic devices Thus, graphene integrated with direct band gap semicon nay offer photoconductive devices with enhanced performances and these hybrid structures have the potential for low-cost mass roduction. For example, in the case of solar cells, high charge carier mobility in the graphene layer can enhance the rate of collec on of photo-generated carriers and so its efficiency [

Undoubtedly, semiconductor nanorods (NRs) (13nong those diverse quantum structures which are being studied ctively. In this article, the photoconductive behavior of GaN NRs ntegrated with graphene is explored. Over the last decade, GaN-based nano-scale semiconductor devices have drawn much

\* Corresponding author, TeL: +82 63 270 2304; fax: +82 63 270 2305. E-mail address; cilee7@bou.ac.kr (C.-R. Lee).

edoi.org/10.1016/j.tallcom.2015.05.05 0925-8388/0 2015 Elsevier B.V. All rights reserved.

ntion from researchers mainly because of their outstandin operties like wide direct band gap (3.4 eV), high thermal condu vity (1.3 W cm<sup>-1</sup> K<sup>-1</sup>) and high saturated electron velocity [1 To be cost-effective, the vapor-liquid-solid (VLS) technique [17 using metal organic chemical vapor deposition (MOCVD) [18] [ deal for growing GaN nanorods. The MOCVD technique also offer recise growth control and mass production

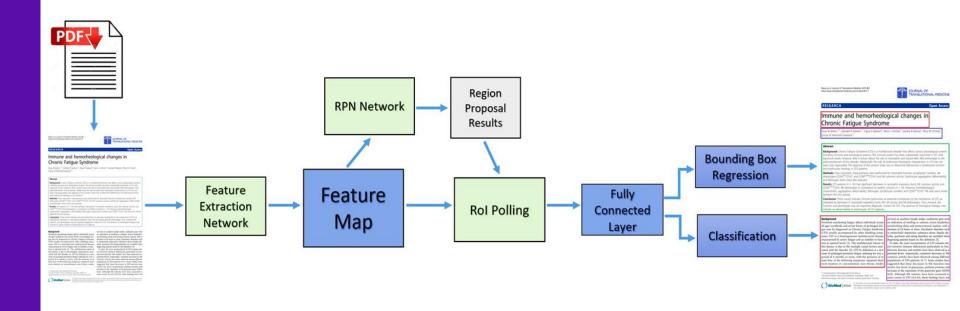
The assurance of the prominent presence of the underlying grahene layer in the NRs-graphene hybrid structure is a must fo ficient photoconduction in the device. In most cases, upon com letion of nanorod growth on graphene, the graphene layer und to be impaired or the semiconductor properties of the NRs re compromised. Our present study deals with this challeng nd succeeds in presenting an n-GaN NRs-graphene hybrid struc ture on Si substrate keeping the original properties of GaN NRs and graphene intact. n-GaN NRs were synthesized on graphene using he MOCVD technique and the effects of variation of growth tem erature of n-GaN NRs were studied.

The hybrid device structures under study are ideal for develop ng photoconductive devices of high efficiency. Moreover, to avoi ination, no metal-catalyst was used to grow n-GaN NR





## SCIENTIFIC LITERATURE LAYOUT DETECTION FRAMEWORK





KSU LABORATORY FOR KNOWLEDGE DISCOVERY IN DATABASES DEPARTMENT OF COMPUTER SCIENCE KANSAS STATE UNIVERSITY



METHODOLOGY BACKBONE

- Use pre-trained VoVnet-v2 [Huang et al., 2017] on MS COCO dataset as backbone for feature extraction
  - \* Better performance aggregate concatenation feature only once in last feature map
  - \* Residual connection enables to train deeper networks
  - \* Squeeze-and-Excitation (eSE) attention module improves feature extractor performance

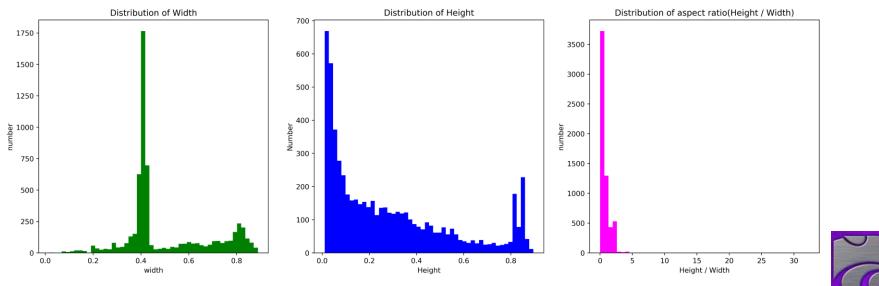




## METHODOLOGY ASPECT RATIO SELECTION

Anchors aspect ratio selection

- \* Analyze distribution bounding box sizes-based ground truth synthesis dataset
- Use K-means cluster anchor box selection to get aspect ratios for different blocks ranging from 0.1 to 4.0



KSU LABORATORY FOR KNOWLEDGE DISCOVERY IN DATABASES

DEPARTMENT OF COMPUTER SCIENCE KANSAS STATE UNIVERSITY



## EXPERIMENT DATASET

- Propose synthesis dataset to relieve imbalance issue
  - \* Region annotations dataset 822 images from 100 PDF scientific literature [Soto and Yoo, 2019]
  - \* ICDAR-2013 150 table images from 76 PDF documents [Gobel et al., 2013]
  - **\*** GROTOAP- 113 annotated PDF scientific literature [Traczyk et al., 2012]
- Final synthesis dataset
  - **\*** 1550 images from 363 PDF documents
  - \* Convert images to fixed size 612 x 729 at 200 dpi





## EXPERIMENT DATASET LABELS

### Use 10 labels to classify major regions from scientific literature

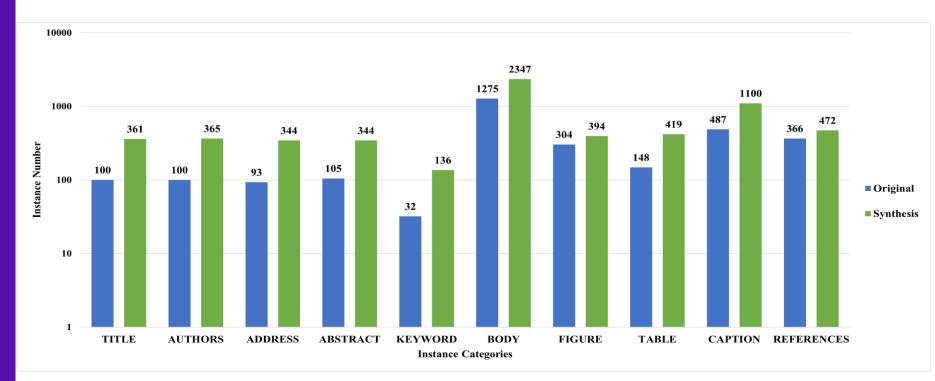
- \* Title: the title and subtitles
- \* Authors: the author names
- \* Address: the affiliation information of authors, including authors' address, email, etc.
- \* Abstract: an abstract section
- \* *Keyword*: the selected keywords
- \* Body: the main block of articles
- \* Figure: all figures but excluding logos or icons from publishers.
- \* Table: the tabular contents
- \* Caption: the captions for both figures and tables
- \* *Reference*: the bibliography information, excluding post-references notes





### **DATASET COMPARISON**

### Instances comparison between region annotations dataset and our dataset by labels







### **EVALUATION**

Detector performance is evaluated with IoU (intersection of unit)

- \* Data set1(D1): original data set [2] 600 image for training, 222 images for testing
- \* Data set2(D2): synthesis data set 1225 images for training, 325 images for testing

Detector	Backbone	Data Set	mAP	AP50	AP75	APs	APm	APl	AR
Soto et al.(30 epochs) [28]	ResNet101	D1	-	70.30	-	-	-	-	-
Faster R-CNN (baseline)	ResNet50_FPN	D1	69.76	87.46	76.49	-	51.65	77.41	62.70
Faster R-CNN	ResNet50_FPN	D2	77.48	92.39	84.42	35.00	63.32	77.65	69.50
Mask R-CNN	ResNet50_FPN	D1	70.68	87.60	82.90	-	52.05	75.05	65.50
Mask R-CNN	ResNet50_FPN	D2	77.66	91.79	85.80	40.00	64.378	75.604	69.50
YOLOV3 (49 epochs) [28]	-	D1	-	68.90	-	-	-	-	-
YOLOV3	DarkNet53	D2	45.90	66.50	57.10	-	-	-	46.33
Faster R-CNN	VoVNetV2-39	D1	67.12	89.01	72.84	-	47.66	73.56	60.50
Faster R-CNN (ours)	VoVNetV2-39	D2	76.39	95.02	86.46	75.00	62.25	74.22	68.80





### **DETECTION RESULTS**

Brügger-Andersen et al. Thrombosis Journal 2010, 8:1 /www.thrombosisjournal.com/content/8/1/1

THROMBOSIS

### ORIGINAL CLINICAL INVESTIGATION

**Open Access** 

The activity of pregnancy-associated plasma protein A (PAPP-A) as expressed by immunohistochemistry in atherothrombotic plaques obtained by aspiration thrombectomy in patients presenting with a ST-elevation myocardial infarction: a brief communication

Trygve Brügger-Andersen<sup>1,2\*</sup>, Leif Bostad<sup>4,5</sup>, Dagny Ann Sandnes<sup>3</sup>, Alf Inge Larsen<sup>1,2</sup>, Vernon VS Bonarjee<sup>1,2</sup>, Ståle Barvik<sup>1,2</sup>, Tor Melberg<sup>1,2</sup>, Dennis WT Nilsen<sup>1,2</sup>

### abstract 100%

Background: The expression of pregnancy-associated plasma protein A (PAPP-A) was identified by immunohistochemistry (IHC) in culprit atherothrombotic plaque specimens harvested from patients admitted with ST-segment elevation myocardial infarction (STEMI).

Methods: The atherothrombotic samples were collected from a consecutive cohort consisting of 20 individuals admitted with STEMI to Stavanger University Hospital, Norway, from 2005-2006, presenting angiographically with an acute thrombotic occlusion of a coronary artery characterized by TIMI flow 0. The atherothrombotic plaques were obtained by aspiration thrombectomy during percutaneous coronary intervention within 12 hours from the onset of symptoms and prepared for IHC analysis.

Results: In the IHC analysis staining for PAPP-A occurred in the extracellular matrix of the plaques and no evidence of staining for PAPP-A was found in the thrombi

Conclusion: Our results indicate that in vivo PAPP-A is strongly expressed in atherothrombotic plaques harvested from patients admitted with STEMI, as documented by IHC

Trial registrationbiobankregisteret@fhi.no1846

#### body 100% und

Pregnancy-associated plasma protein A (PAPP-A) is a zinc-binding matrix metalloproteinase that can be detected in the blood of patients with acute coronary syndromes (ACS) [1,2]. There is histological evidence, using specific monoclonal antibodies, that PAPP-A is abundantly expressed in both eroded and ruptured coronary plaques, but not in stable plaques, in patients who have died suddenly of cardiac causes. Furthermore, accumulating evidence suggests that PAPP-A may play a Divotal tole in the development of atherosclerosis and subsequent plaque instability in ACS patients [1].

In a prior study we have assessed the immediate effects of coronary reperfusion procedures on the plasma concentrations of PAPP-A in patients admitted with ACS and ST-elevation myocardial infarction (STEMI) [3]. However, existing data does not allow us to define the exact role of PAPP-A in plaque disruption. Although, this metalloproteinase has been shown in earlier studies to be expressed in ruptured plagues, these results were limited by the fact that the histological samples were collected postmortem [1]. Therefore, we wanted to identify the expression of PAPP-A by immunohistochemistry (IHC) in culprit

stitute of Medicine, University of Bergen, 5021 Bergen, Norway

BioMed Central
O 2010 Brügger-Andersen et al licensee BioMed Central Ltd. This is an Open Access article distributed under the terms of the Creative
Commons Attribution License Bittp://creativecommons.org/licenses/by/20, which permits unrestricted use, distribution, and
reproduction in any medium, provided the original works (s property cited)

2

regarding future questionnaires and research. ACT participants were drawn from a cohort of individuals usually tested because of a family member with AAT deficiency. Individuals identified through the Alpha-1 Foundation Registry were drawn from a group of 3090 registry participants with severe AAT deficiency or the carrier state. Since individuals with symptoms are more likely to be tested for AAT deficiency, the participants of the ACT Study and the Research Registry do not represent population-based cohorts. Therefore, to approximate the same biases in a control population, each individual with the PiSS genotype vas randomly age, sex, race, and US state matched to an ACT participant with the PiMM genotype as a control.

Study participants were telephone interviewed by a ertified genetic counselor, from November 2007 through February 2009, with a standardized questionnaire to identify emographic features, cigarette smoking history, medication istory, past and present clinical diseases, and surgeries. A detailed pedigree was obtained, including race/ethnicity and a history of fetal demise or stillbirth. Social history including vocation and artistic experience was collected.

Statistics were performed by JMP (SAS Institute, Cary, NC). A two-tailed Student's t-test for continuous variables and Pearson's Chi-Squared test for categorical variables were used for comparative statistics when comparators had more than five observations. A P-value <.05 was accepted as significant. No correction for multiple comparisons was

The Hardy-Weinberg statistics were applied to the genotypes detected in the ACT study to calculate the S allele requency in that study population. The total number of S alleles detected in the ACT study (812) was divided by the total number of alleles (15320) thus determining the S allele frequency (5.3%). The S allele frequency was squared and then multiplied by the total number of participants in the ACT study (7660) to determine the predicted number of individuals with the PiSS genotype (21).

A comprehensive literature review was conducted by two westigators (DM, CS). A recent meta-analysis of chronic obstructive pulmonary disease (COPD) risk associated with PiS alleles [4] was the starting point for our evaluation since the 119 articles reviewed in detail reported only seven articles that mentioned cases of the PiSS genotype. These seven articles were reviewed for any other clinical information in addition to COPD. In addition, testing studies that reported outcomes on more than 100 patients were reviewed from the dataset of de Serres [5] to ascertain if information was included on individuals that were PiSS. References of articles that discuss cases of PiSS were indexed and translations of articles in other languages from English were obtained. Tables of general population and disease specific testing were constructed

#### 3. Results

The ACT study and the Alpha-1 Foundation Research Registry enrollments by genotype as of January 1, 2008 are shown in Table 1. The ACT study identified 34 individuals Pulmonary Medicine

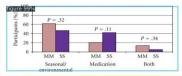
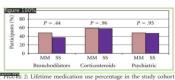


FIGURE 1: Percentage of seasonal/environmental allergies, medication allergies, or both seasonal/environmental and medication allergies in the study cohort (N = 19) compared to the controls (N = 29).



(N = 19) compared to the controls (N = 29)

with the PiSS genotype. Eight of these individuals chose to not continue in the longitudinal outcome study after receiving their results per study protocol [6], and contact information was not available. Sixteen of the remaining 26 individuals were interviewed. One of the 26 identified individuals died prior to being interviewed. The remaining nine individuals were not interviewed due to incorrect contact information (N = 6) or did not return three telephone calls

Five individuals in the Registry were identified with the PiSS genotype. One of these five individuals was also in the ACT study. Therefore, four additional individuals were identified for the current study. Three individuals were interviewed. The remaining person did not return the researcher's phone calls on three occasions but reported asthma in the Registry database. Therefore, 19 individuals comprise the current PiSS study cohort.

3.1. Participants of Current Study. Table 2 shows patient demographics for the cohort of the PiSS genotype and the control participants with the PiMM genotype. Eighteen participants were non-Hispanic Caucasian. One ACT study participant was Hispanic. There was no difference in smoking status, smoking duration, secondhand smoking incidence, or secondhand smoking duration between participants with the PiSS genotype and the PiMM genotype. Table 3 lists physician-reported diagnoses for COPD, asthma and hepatic steatosis (fatty liver) and self-reported jaundice, frequent pneumonia, and bronchitis. Lung disease symptoms, present in 11 of 19 (57.9%) participants from the





### REFERENCE

- [Huang et al., 2017] Huang, G., Liu, Z., Van Der Maaten, L., & Weinberger, K. Q. (2017). Densely connected convolutional networks. In *Proceedings of the IEEE conference on computer vision and pattern recognition* (pp. 4700-4708).
- [Soto and Yoo, 2019] Soto, C., & Yoo, S. (2019, November). Visual Detection with Context for Document Layout Analysis. In *Proceedings of the 2019 Conference on Empirical Methods in Natural Language Processing and the 9th International Joint Conference on Natural Language Processing (EMNLPIJCNLP)* (pp. 3455-3461).
- [Gobel et al., 2013] Gobel, M., Hassan, T., Oro, E., & Orsi, G. (2013, August). ICDAR 2013 table competition. In 2013 12th International Conference on Document Analysis and Recognition (pp. 1449-1453). IEEE.
- [Traczyk et al., 2012] Tkaczyk, D., Czeczko, A., Rusek, K., Bolikowski, L., & Bogacewicz, R. (2012, June). GROTOAP: ground truth for open access publications. In *Proceedings of the 12th ACM/IEEE-CS joint conference on Digital Libraries* (pp. 381-382).





## THANKS

## CONTACT: KSU KDD LAB HTTP://WWW.KDDRESEARCH.ORG



KSU LABORATORY FOR KNOWLEDGE DISCOVERY IN DATABASES DEPARTMENT OF COMPUTER SCIENCE KANSAS STATE UNIVERSITY