

# Contrastive Data Learning for Facial Pose and Illumination Normalization

Paper ID: 2284    Project Page: <https://github.com/HaoRecog/pose-illumination-normalization>



**Gee-Sern Jison Hsu<sup>1</sup>**



**Chia-Hao Tang<sup>1</sup>**



**Svetlana Yanushkevich<sup>2</sup>**

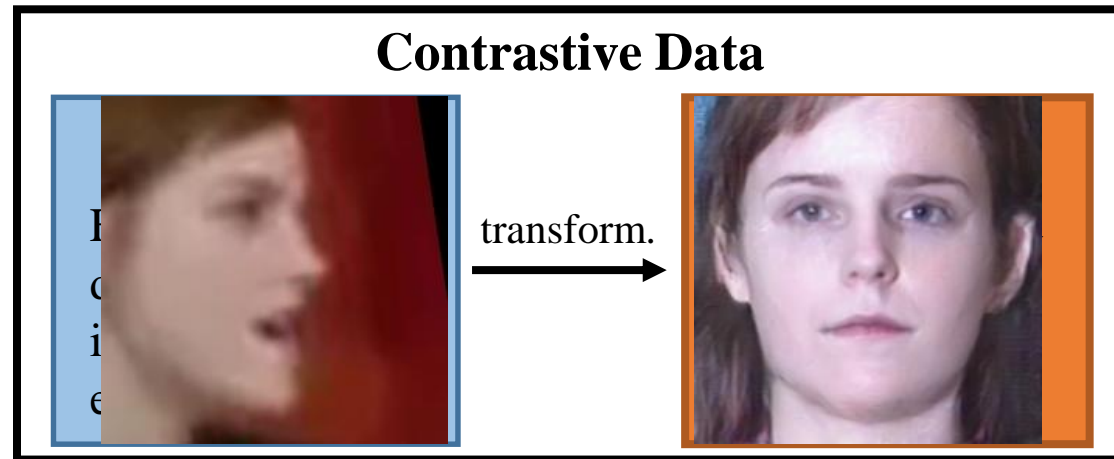


**Marina L Gavrilova<sup>2</sup>**

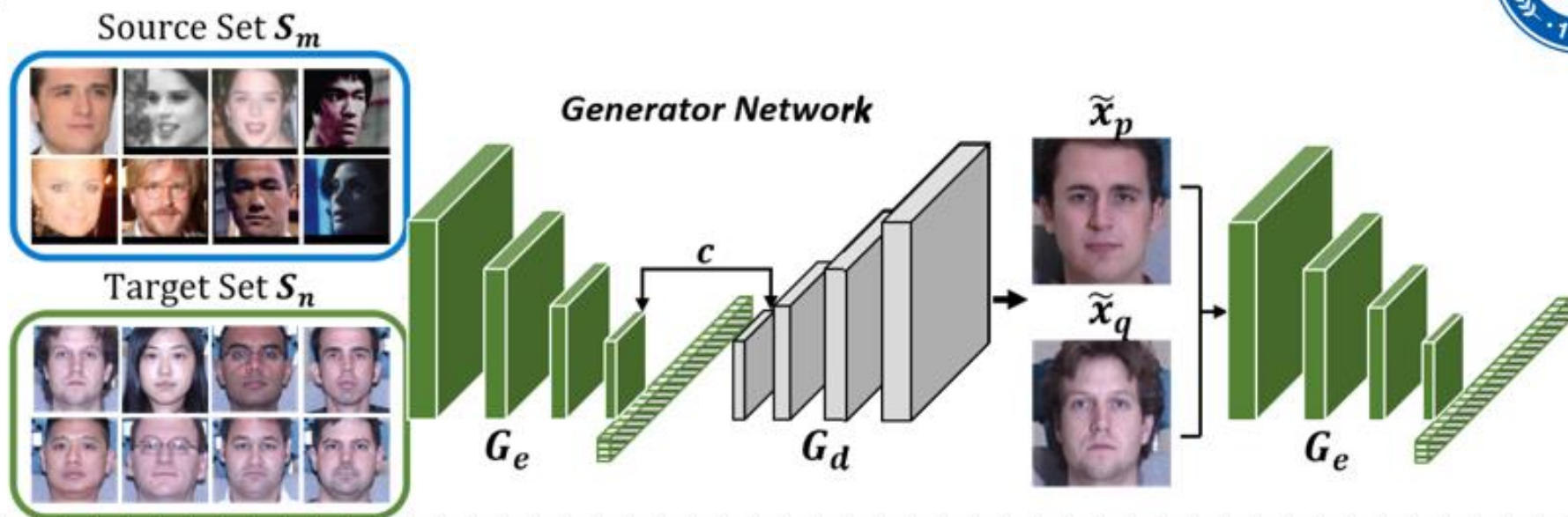
<sup>1</sup>National Taiwan University of Science and Technology,    <sup>2</sup>University of Calgary

# Problem statement

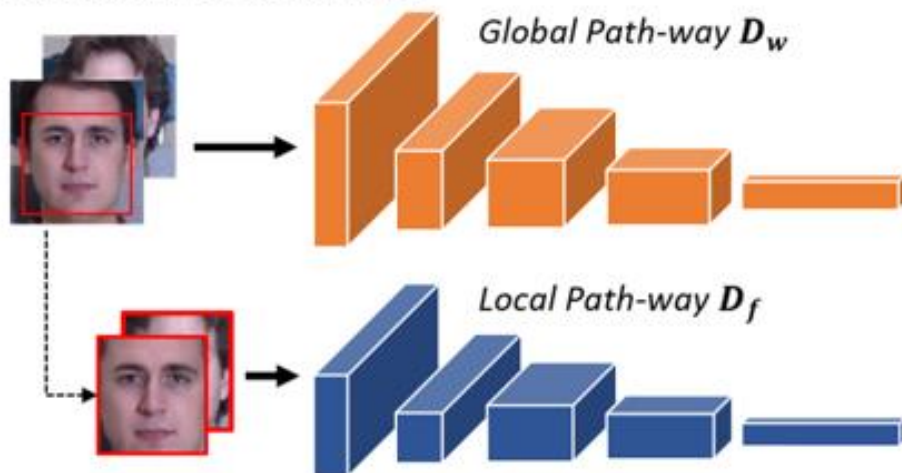
- **Motivation:** Most previous work [1, 2] primarily focused on frontal pose normalization only.
- **Task:** Learn the transformation from an arbitrary face into illumination and pose normalized face, in an unsupervised manner.
- **Key idea:** Follow the work in [2], we learn on the contrastive data (source and target), it can transform an arbitrary face into illumination and pose normalized face.



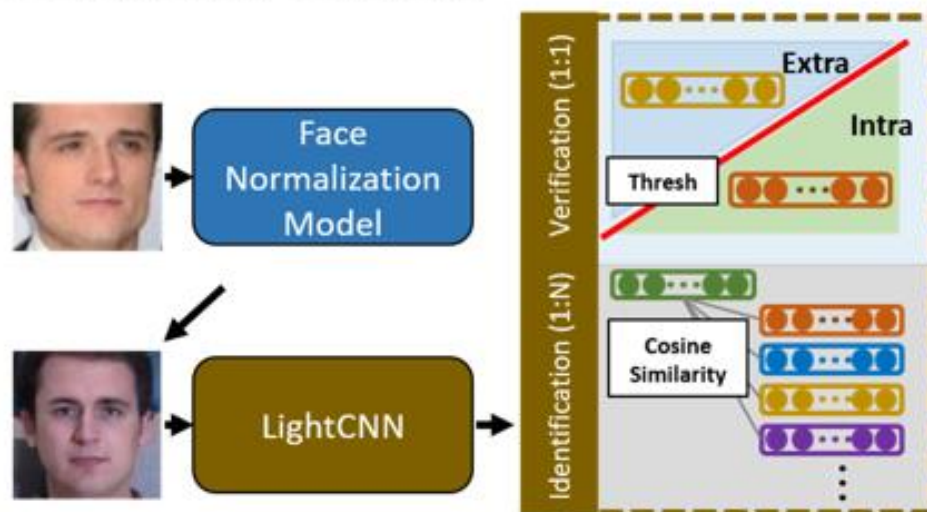
# Pose and Illumination Normalization



## Attention Discriminator



## Recognition via Generation



# Objective Functions

Identity Loss

Adversarial Loss

Reconstruction Loss

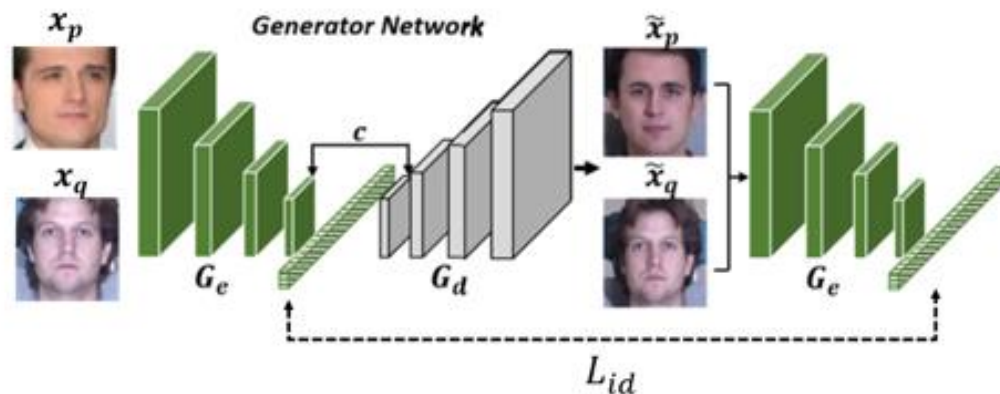
Symmetry Loss



# Objective Functions

Identity Loss

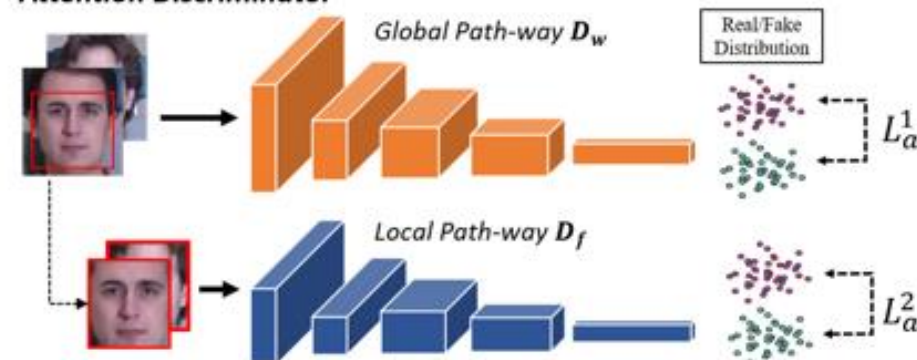
$$L_{id} = \|G_e(x_p) - G_e(\tilde{x}_p)\|_2 + \|G_e(x_q) - G_e(\tilde{x}_q)\|_2$$



Adversarial Loss

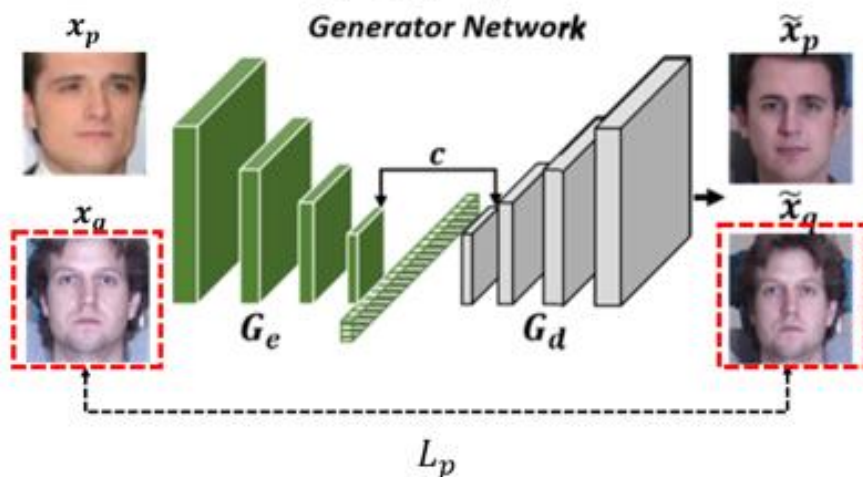
$$L_a = \mathbb{E}[D_{\theta_i}(\tilde{x}_q)] + \mathbb{E}[D_{\theta_i}(\tilde{x}_p)] - \mathbb{E}[D_{\theta_i}(x_p)] + \lambda \mathbb{E} \left[ \left( \|\nabla_{\tilde{x}} D_{\theta_i}(\tilde{x}) - 1\|_2 \right)^2 \right], i \in [1, 2]$$

Attention Discriminator



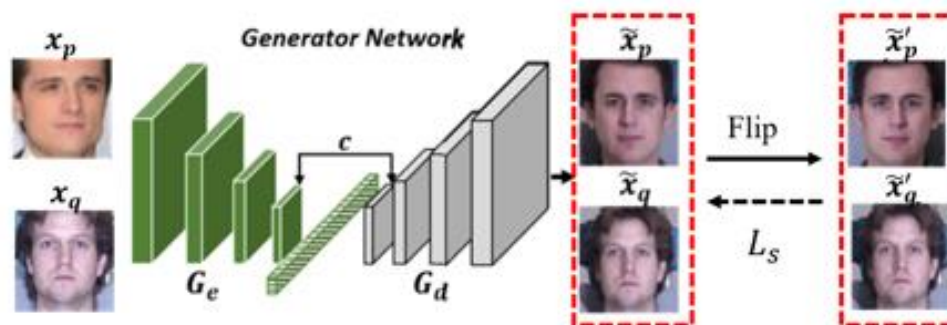
Reconstruction Loss

$$L_p = |\tilde{x}_p - x_p|$$



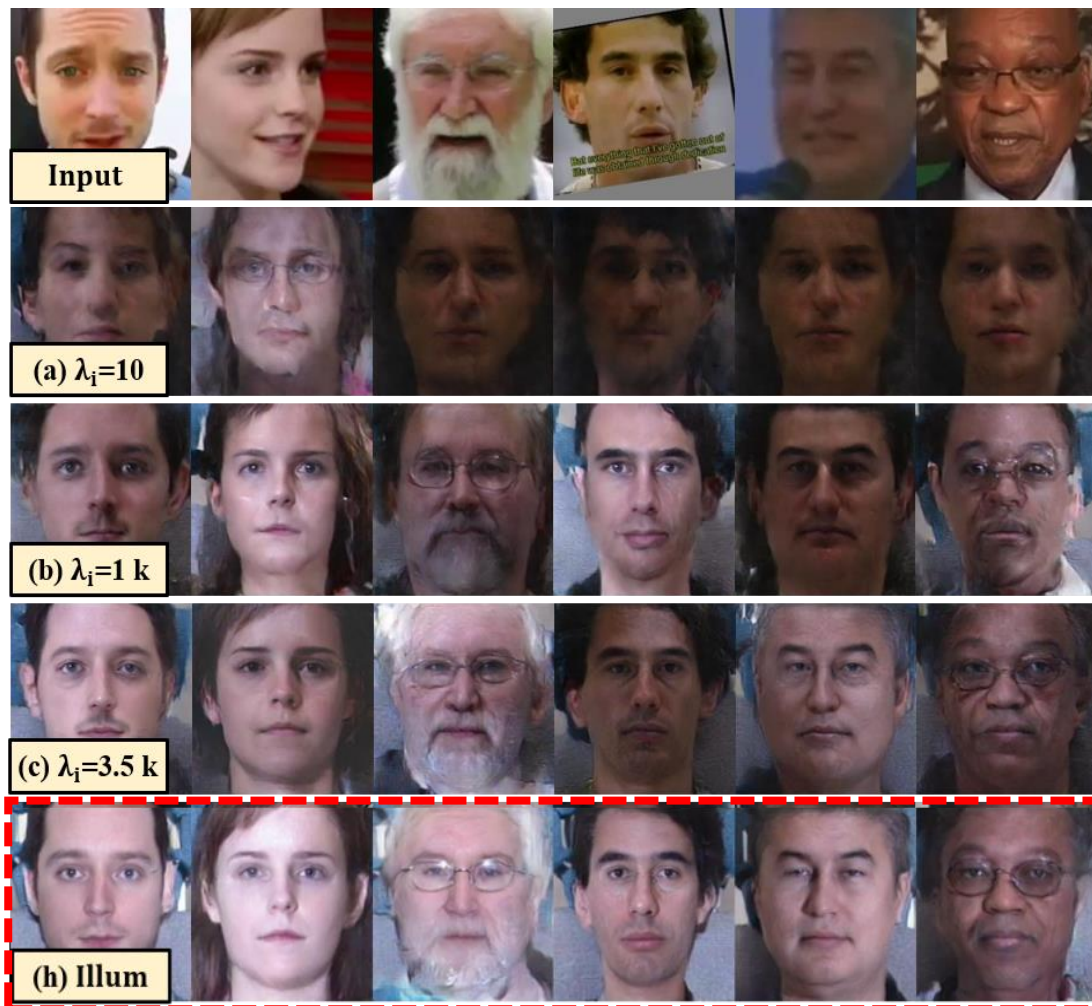
Symmetry Loss

$$L_s = |\tilde{x}_p - \tilde{x}'_p| + |\tilde{x}_q - \tilde{x}'_q|$$



# Experiments – Ablation study

The ablation study on IJB-A.



Method	Verification		Identification	
	@FAR=.01	@FAR=.001	@Rank-1	@Rank-5
PIN ( $\lambda_i = 10$ )	$7.5 \pm 1.5$	$2.8 \pm 1.2$	$12.8 \pm 0.4$	$28.9 \pm 2.5$
PIN ( $\lambda_i = 1000$ )	$87.8 \pm 2.1$	$72.8 \pm 0.6$	$91.7 \pm 2.1$	$95.9 \pm 1.1$
PIN ( $\lambda_i = 3500$ )	$92.6 \pm 0.9$	$85.5 \pm 1.4$	$95.1 \pm 1.4$	$96.9 \pm 0.5$
PIN ( $\lambda_i = 5500$ )	$90.9 \pm 0.4$	$80.7 \pm 1.0$	$93.2 \pm 1.7$	$96.8 \pm 0.9$
PIN ( $\lambda_a = 0$ )	$85.3 \pm 0.7$	$70.1 \pm 1.9$	$86.6 \pm 1.2$	$92.4 \pm 1.2$
PIN ( $\lambda_s = 0$ )	$86.2 \pm 1.6$	$78.9 \pm 1.1$	$88.1 \pm 1.0$	$93.9 \pm 1.7$
PIN ( $\lambda_p = 0$ )	$90.6 \pm 1.4$	$81.3 \pm 1.3$	$91.6 \pm 1.6$	$94.3 \pm 1.2$
PIN (Illum)	$93.9 \pm 0.6$	$87.0 \pm 0.4$	$96.7 \pm 0.9$	$98.3 \pm 0.7$





# Experiments – Ablation study

PIN (15°)	93.3±0.2	85.7±0.1	96.0±1.8	97.3±1.9
PIN (30°)	91.0±1.6	82.6±2.1	95.6±1.3	96.0±1.1
PIN <sub>m</sub>	94.2±0.8	88.9±1.0	96.8±1.1	98.3±0.9
PIN <sub>c</sub>	95.4±1.8	90.1±1.9	97.1±0.1	98.6±0.9
PIN (75°)	82.4±0.2	63.7±1.5	89.9±0.7	94.1±1.1
PIN (90°)	73.2±1.9	53.1±1.1	84.6±2.6	93.5±1.2

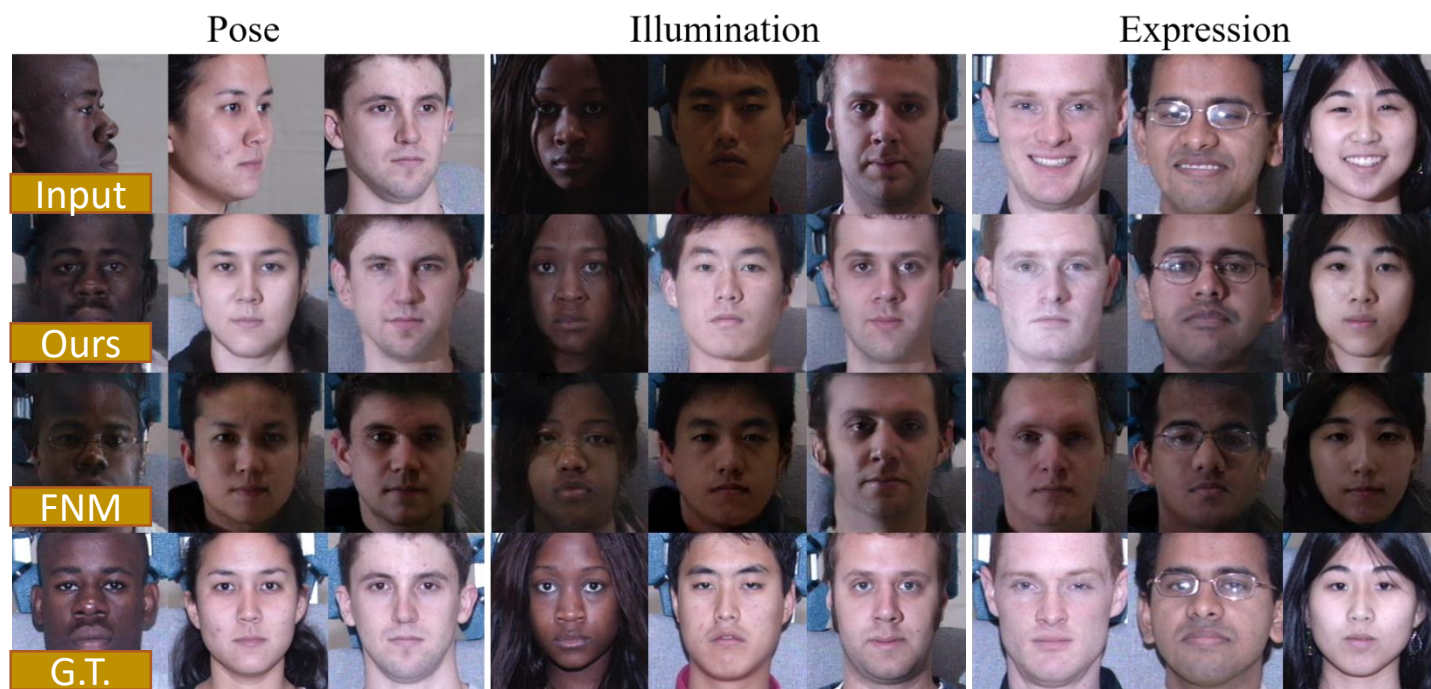


# Experiments – Multi-PIE

Rank-1 recognition rates (%) across views,  
illuminations on Multi-PIE.

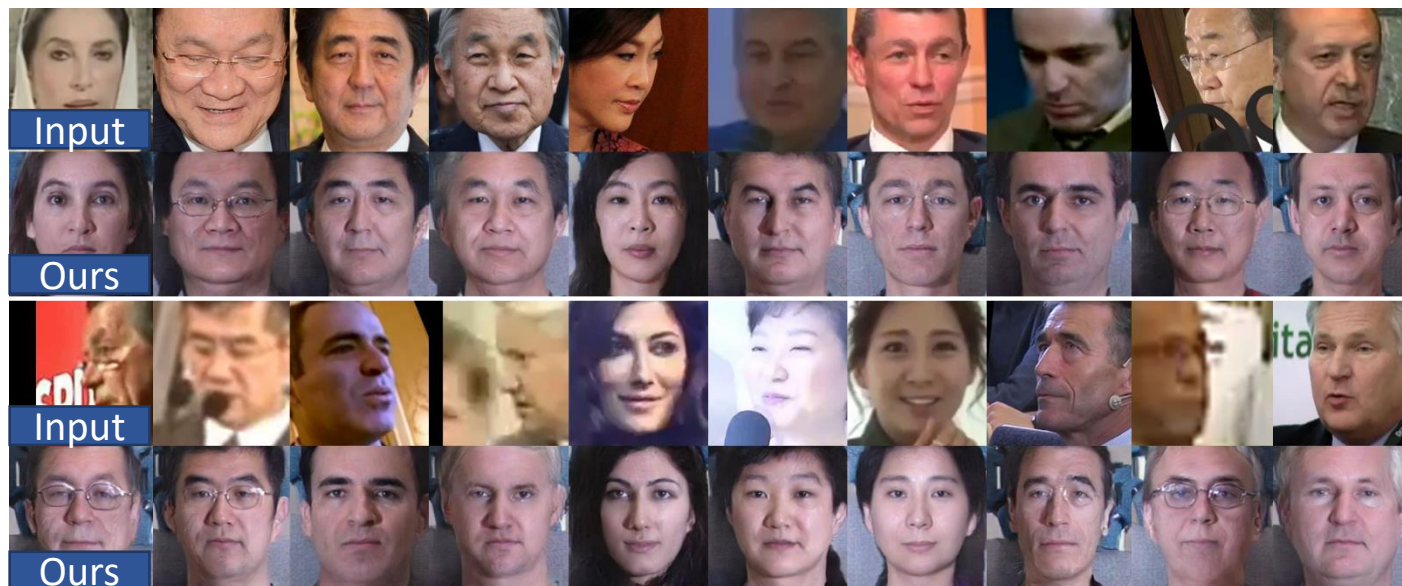
Method	15°	30°	45°	60°	75°	90°
FF-GAN [14]	94.6	92.5	89.7	85.2	77.2	61.2
TP-GAN [1]	99.8	99.9	98.6	98.1	92.9	75.0
DR-GAN [5]	95.0	91.3	88.0	85.8	-	-
LightCNN [26]	99.2	98.0	97.7	95.5	73.3	20.7
CAPG-GAN [17]	99.9	99.4	98.3	93.7	87.4	77.1
PIM [2]	99.3	99.0	98.5	98.1	95.0	86.5
FNM [3]	99.9	99.5	98.2	93.7	81.3	55.8
LightCNN [26]	100	100	100	95.5	73.3	20.7
PIN + LightCNN	100	100	99.8	98.9	95.2	84.2
ArcFace [13]	100	100	100	96.5	83.1	40.5
PIN + ArcFace	100	100	100	99.2	96.9	86.5

PIN generated normalized faces compared with ground truth.





# Experiments – IJB-A and IJB-C



Performance comparison on IJB-A.

Method	Verification		Identification	
	@FAR=.01	@FAR=.001	@Rank-1	@Rank-5
PAM [8]	73.3±1.8	55.2±3.2	77.1±1.6	88.7±0.9
DCNN [28]	78.7±4.3	-	85.2±1.8	93.7±1.0
FF-GAN [14]	85.2±1.0	66.3±3.3	90.2±0.6	95.4±0.5
FaceID-GAN [14]	87.6±1.1	69.2±2.7	-	-
DR-GAN [5]	87.2±1.4	78.1±3.5	92.0±1.3	96.1±0.7
FNM [3]	93.4±0.9	83.8±2.6	96.0±0.5	98.6±0.3
LightCNN [26]	91.2±1.1	84.4±0.8	92.4±1.7	95.4±0.8
PIN + LightCNN	95.4±1.8	90.1±1.9	97.1±0.1	98.6±0.9
ArcFace [13]	94.9±1.2	90.2±0.5	95.1±0.6	98.1±0.3
PIN + ArcFace	96.2±1.2	91.5±0.5	97.6±0.6	98.9±0.3

Performance comparison on IJB-C.

Method	Verification	
	@FAR=.01	@FAR=.001
FaceNet [7]	32.40	20.58
VGGFace [10]	45.60	26.18
DR-GAN [5]	88.2	73.6
VGGFace2 [11]	95.0	90.0
LightCNN[26]	90.63	84.32
PIN + LightCNN	91.49	86.56
ArcFace[13]	95.82	91.69
PIN + ArcFace	96.11	92.27

# Conclusion

We improve the FNM with four components:

- 1) Re-organized the contrastive data set by strictly keep the target set with frontal face with balance illumination.
- 2) Add in the symmetry loss to stable both target and source face in optimization process
- 3) Determine the weights to emphasize the contributions of different losses
- 4) Incorporation of the ArcFace as our encoder which provide more discriminative prior knowledge to the decoder.

Experiments show that PIN framework is competitive to SOTA approaches.



Thanks for watching